

CalPACE

Board of Directors Meeting

March 10, 2021 | 1:00 PM- 3:00 PM | Zoom

To join the meeting by smart phone, tablet, or computer, click this link:

<https://calpace.zoom.us/j/98999985044?pwd=eU1yUWJWMkwyK1JUd0o0SUZpZEF0QT09>

To listen by phone call (669) 900-6833 and enter: Meeting ID: 989 9998 5044 | Passcode: 368654

AGENDA

1. Welcome
2. Approval of minutes of January 27, 2021 board meeting – *discussion and action item*
3. CalOptima designation of Jackie Mark as board representative – *discussion and action item*
4. Approval of six-month financials for fiscal year 2020-2021 – *discussion and action item*
5. Nominations for remainder of terms for CalPACE Treasurer and CFO positions -- *discussion and action item*
6. Executive committee actions -- *discussion and action item*
 - Board member responsibility
 - Code of Ethics
 - Conflict of Interest policy
 - Antitrust policy
 - Board orientation materials
7. Report on CalPACE sponsored bills, AB 540 and AB 523 – *discussion and action item*
8. Positions on non-CalPACE sponsored legislation – *discussion and action item*
9. Other business
10. Strategic planning exercise

Attachments

- | | |
|---|--|
| A. Minutes of January 27, 2021 CalPACE board meeting | G. Conflict of interest policy |
| B. Notes of February 24, 2021 Executive Committee meeting | H. Antitrust policy |
| C. Letter designating board member – CalOptima | I. Board member orientation materials |
| D. Financial statements as of December 31, 2020 and narrative (3) | J. AB 540 and AB 523 bill texts |
| E. Board member responsibilities document | K. CalPACE legislative update – March 04, 2021 |
| F. Code of ethics and professional conduct document | L. Strategic planning exercise agenda |

Board Members

- | | |
|---|--|
| •AltaMed, Castulo de la Rocha | •Innovative Integrated Health, Phil Tsunoda |
| •Brandman Centers for Senior Care, Susie Fishenfeld | •North East Medical Services – Diana Kawasaki-Yee |
| •CalOptima, Elizabeth Lee | • On Lok PACE, Eileen Kunz - Chair |
| •Center for Elders' Independence, Maria Zamora | •Redwood Coast PACE, Melissa Hooven |
| •Central Valley PACE, Tony Weber | • San Diego PACE, Kevin Mattson - Secretary |
| •Family Health Centers of San Diego, Jeff Gering | • St. Paul's PACE, Cheryl Wilson – Vice Chair |
| •Gary and Mary West PACE, Tim Lash | •Sutter SeniorCare PACE, Julie Erdman |
| | •WelbeHealth, Elizabeth Carty |



Minutes of CalPACE Board Meeting

January 27, 2021

Zoom Meeting

Attendees

Board members:

Cheryl Wilson, St. Paul's PACE
Diana Kasawaki-Yee, NEMS
Eileen Kunz, On Lok Lifeways
Jeff Gering, Family Health Centers of San Diego
Julie Erdmann, Sutter SeniorCare PACE
Maria Zamora, AltaMed PACE
Phil Tsunoda, Innovative Integrative Health
Tim Lash, Gary and Mary West PACE
Tony Weber, Central Valley PACE
Elizabeth Carty, WelbeHealth
Elizabeth Lee, CalOptima PACE
Susie Fishenfeld, Brandman Centers for Senior Care

CalPACE staff:

Peter Hansel, Chief Executive Officer
Jennifer Blankenship, Vice President of Operations
Lucas Evensen, Public Policy Analyst
Fred Main, CalPACE Counsel

Other officers:

Bing Isenberg, CalPACE CFO

Guests:

Arnold Possick, Brandman Centers for Senior Care
Barbara LaHaie, Redwood Coast
Jackie Mark, CalOptima PACE
Karli Holkko, WelbeHealth
Maria Lozano, InnovAge
Pamela Ansley, Sutter SeniorCare PACE
Sharon Raver-Villanueva, NEMS
Rena Smith, Gary and Mary West PACE
Cindy Ward, CFO, LeadingAge CA

Board members/officers absent:

Kevin Mattson, San Diego PACE
Melissa Hooven, Redwood Coast PACE
Vacant, Center for Elders' Independence

Note: These minutes are confidential and privileged and should not be circulated outside of the CalPACE Board.

Following roll call, Chair Eileen Kunz, convened the meeting at 9:05 A.M.

DECISIONS

Minutes. Minutes of the November 18, 2020 board meeting were approved on a 12-0 vote (Erdman/Gehring).

Brandman Centers designation of Susie Fishenfeld as board representative. Brandman Centers' request to designate Susie Fishenfeld as its board representative was approved on a 12 – 0 vote (Zamora/█).

North East Medical Services designation of Diana Kawasaki-Yee as board representative. North East Medical Services' request to designate Diana Kawasaki-Yee as its board representative was approved on a 12 – 0 vote (Wilson/Lash).

Review and approval of audited financial statements for fiscal year ended June 30, 2020. Cindy Ward, LeadingAge CFO, presented CalPACEs audited financial statements for fiscal year ended June 30, 2020. The opinion of the auditor is that CalPACEs audited financial statements represent fairly CalPACEs financial position as of June 30, 2020. A number of minor adjustments were made pursuant to the audit and a suggestion was made to discontinue accrual of paid time off for the policy analyst position that CalPACE has provided 50 percent funding for with Leading Age. The audited financial statements were approved on a 12 – 0 vote (Wilson/Erdman).

Executive committee actions.

Board orientation and committee workplan. Peer Hansel, CalPACE CEO, provided an overview of the actions the Executive Committee has taken to develop board member orientation materials, a plan and timeline for an initial board orientation session, and board member responsibility documents. Mr. Hansel also provided an overview of the committee workplan, which outlines tasks that the committee will be undertaking over the next several months in the areas of governance, membership dues and benefits, and board policies. Mr. Hansel reviewed a list of proposed board orientation materials as well as the board policies that have been adopted to date. A suggestion was made to include the NPA-CalPACE charter agreement in the board orientation materials. Staff plan to present the board orientation materials and board member responsibility documents to the Executive committee in February and to board in early March. The initial board orientation session is planned for March 31.

Contract with Paschal Roth Public Relations. Jennifer Blankenship, VP for Operations, provided an overview of the process CalPACE used to screen and review firms to contract with for public relations work and the criteria used to identify Paschal Roth as the leading candidate and to request a proposal. Mr. Hansel summarized the Executive Committee's actions in reviewing and approving the contract. Committee members discussed issues involving the cost, whether the budget can sustain the funding level, the need to coordinate efforts with members' communication efforts, and the need for development of performance metrics and an evaluation of performance. In response to a question about whether contracts need to be approved by the board, Fred Main, CalPACE counsel, advised that Executive Committee may approve contracts under its charter but the board retains ultimate authority, and may ratify or disapprove actions of the committee. Board members were asked to provide names of staff they would like to be included in meetings with the contractor to discuss coordination

of PR efforts. There was general consensus to move forward with the contract with the inclusion of performance metrics and an evaluation at the end of the first five months. Staff will report further on contract implementation at future board meetings.

DISCUSSION

2021 retreat update. Ms. Blankenship provided an update on CalPACEs virtual retreat, scheduled for February 17 and 18. Registration information has been sent to members. Members thanked the retreat planning committee for their efforts and Ms. Blankenship and CalPACE staff their work in planning and carrying out the retreat.

Other business.

Elizabeth Lee asked about the status of CalPACEs planned legislation to increase the awareness of and access to PACE as a managed care enrollment choice. The legislation will be shared with board members as soon as it is available so that members can provide support letters. Mr. Main provided an update on CalPACEs other proposed bill to extend the COVID pandemic PACE regulatory flexibilities. Several board members offered to provide additional support as needed.

Ms. Wilson advised the board that Ellen Schmeding, VP of Operations for St. Paul’s Senior Services, has become the chair of the state Commission on Aging.

The meeting was adjourned at 10:00 A.M.

Respectfully submitted,

Kevin Mattson, Secretary

Prepared by: Peter Hansel, Chief Executive Officer



CalPACE Executive Committee

February 24, 2021 | Zoom
Meeting Notes

Attendees: Bing Isenberg, Center for Elders' Independence
Cheryl Wilson, St. Paul's PACE
Eileen Kunz, On Lok Lifeways
Kevin Mattson, San Diego PACE
Maria Zamora, CEI (incoming)
Julie Erdman, Sutter SeniorCare

CalPACE Staff: Peter Hansel, CalPACE
Jennifer Blankenship, CalPACE
Fred Main, Clear Advocacy

Committee Chair Eileen Kunz convened the meeting at 1:00 PM.

CalPACE conflict of interest policy. CalPACE counsel Fred Main reviewed a draft conflict of interest policy. Once in place, board members will be required to affirm annually that they have reviewed it and to disclose any conflicts of interest as defined by the policy. Following discussion, a motion to recommend that the board approve the policy was adopted (Mattson/Zamora).

Board member responsibility documents. CalPACE VP for Operations Jennifer Blankenship went over draft board member responsibility documents, including a list of board member responsibilities, code of ethics and professional conduct, confidentiality and non-disclosure agreement, and annual conflict of interest disclosure form. Fred Main also went over a proposed anti-trust statement. Members made several suggestions, including cross referring relevant CalPACE policies in the documents, removing overlapping provisions among the documents, and including a threshold of \$100 for gifts that are permissible. Members discussed whether additional provisions should be added to require board members to disclose any policy conflicts with CalPACE's policy agenda and to try to resolve them, but decided that this is adequately covered in the proposed code of ethics and professional conduct. There was consensus to recommend that the documents be presented to the board for approval at its next meeting.

Board member orientation materials. Jennifer Blankenship went over an updated list of board member orientation materials. These will be incorporated in a binder for the first board member orientation session. Committee members suggested several changes, including moving up and prioritizing key governance documents such as bylaws and board policies and moving lesser important items to appendices and including a list of current board members and contacts. There was consensus to make these available in PDF form for the first board orientation session, and to continue to research board meeting platform programs that can be used to upload and update board orientation materials on an ongoing basis.

Nomination for CalPACE Treasurer position. Fred Main indicated that with the departure of Maria Zamora, the current Treasurer, from AltaMed and her becoming the new CEO of CEI, CalPACE needs to elect someone to fill out the current term for the Treasurer position. Following discussion, a motion to renominate Maria Zamora, contingent on the start of her position with CEI, to fill out the term was adopted (Mattson/Wilson). Members also discussed whether the departure of Bing Isenberg from CEI to become VP of Finance for InnovAge requires that the board appoint someone to fill out the current term for the CFO position. Fred Main stated that because the bylaws do not require that the CFO be associated with a full member organization, a new appointment is not needed. Following discussion, a motion that Bing continue to serve for the remainder of the term was approved (Mattson/Zamora). A suggestion was made that the question of whether CalPACE needs to have a Treasurer and a CFO officer position be reviewed as part of the bylaws review work that will be undertaken later this year.

Other business. Peter Hansel, CEO, reviewed planned agenda items for the upcoming March 10 board meeting. Committee members thanked the CalPACE retreat planning committee for their work on the recent retreat.

Adjournment. Chair Kunz adjourned the meeting at 2:30 PM.

Committee Members

Chair: Eileen Kunz, On Lok

Vice Chair: Cheryl Wilson, St. Paul's PACE

Treasurer: Maria Zamora, Center for Elders Independence (incoming)

Secretary: Kevin Mattson, San Diego PACE

At Large Member: Julie Erdman, Sutter SeniorCare

CFO: Bing Isenberg, InnovAge

At Large Member: Vacant

February 17, 2021

Peter Hansel
Chief Executive Officer
CalPACE
1315 I Street, Suite 100
Sacramento, CA 95814

Sent via E-Mail to phansel@calpace.org

Dear Mr. Hansel:

After seven years at CalOptima PACE, Elizabeth Lee is moving on to another opportunity effective March 18, 2021. With that said, I would like to appoint Jackie Mark to the CalPACE Board of Directors as CalOptima's representative. As CalOptima's Senior Policy Advisor in Government Affairs, Jackie has actively engaged with CalPACE activities over the past two years. I know she will be a great addition to your Board.

Thank you for all the work CalPACE does on behalf of our PACE program.

Sincerely,



Richard Sanchez
Chief Executive Officer

RS:rs

Cc: Jackie Mark, Senior Policy Advisor, Government Affairs, CalOptima
Rachel Selleck, Executive Director, Public Affairs, CalOptima

CalPACE Financial Narrative

July 1, 2020 thru December 31, 2020

Statement of Financial Position as of December 31, 2020

- Cash – \$521,962, which is an increase of \$200,729 over prior year end.
- Receivable Membership Fee – increased by \$143,024. This balance represents the receivable for membership dues.
- Receivable Other – decrease of \$7,000 due to collections of ISAT fees outstanding as of June 30, 2020
- Prepaid Expenses – increase of \$10,516 primarily consists of costs for the board retreat.
- Fixed Assets, net – Increase of \$1,796 due to purchase of computer equipment.
- Accounts Payable Trade – at \$48,107 is \$5,016 lower than prior year. The balance of this account is primarily due to LeadingAge CA for payroll and services.
- Accrued expenses – \$19,130 represents accrued PTO. Decrease of \$8,746 is primarily due to the adjustment of the liability for shared personnel.
- Deferred revenue – \$268,000 are amounts collected for dues that are not yet earned.
- **Net Assets are \$351,373, an increase of \$111,527 from June 30, 2020.**

Statement of Activities for July 1, 2020 thru December 31, 2020

- **Operating revenues** – better than budget by \$8,139 due to the following:
 - Membership - \$268,000 earned which is at budget.
 - Associate membership earned of \$28,750 which is at budget.
 - Affiliate membership earned of \$56,989 which is better than budget by \$13,489 due to recruitment and retention efforts by staff.
 - ISAT fees under budget by \$5,350. \$6,000 in fees received were applied to the prior year balance owed. This is a timing variance.
- **Strategic initiative revenue** – these assessments of \$45,110 are tracking right at budget as expected.
- **Expenses**
 - Salary and Fringe Benefits – (2 %) which is (\$2,820) lower than budgeted, primarily related to costs for health benefits and payroll taxes.
 - Contractual – (29%) (\$27,351) savings year to date. As follows:
 - Lobbying (\$2,216) less than budget which is a timing variance.
 - Website Design (\$5,635) – this is a timing variance.
 - Legal (\$2,000) lower than budget – this is a timing variance.
 - Actuarial (\$4,581) this is a timing variance.
 - Consultant – Other (\$12,019) – this category is used as needed and required less use than we budgeted for the first six months.
 - Strategic planning – (15%) (\$4,000) savings year to date. As follows:
 - Strategic planning – Brand Development (\$4,000) - costs are offset by supplemental dues and this project came in under budget. Reimbursement of the savings will be distributed to the participating members.
 - Strategic planning PACE 2.0 – no midyear variance as all expenses are planned for the second half of the year.

- Travel and Training – (82%) (\$6,263) - travel is still being impacted by COVID-19 restrictions.
 - General office and Administration – (6%) (\$1,776) no material variances noted.
 - Other expenses- (30%) (\$875) no material variances noted.
- **Net Surplus at \$111,527** for the year to date.

CalPACE
STATEMENT OF ACTIVITIES
For the Six Months Ended December 31, 2020

	CURRENT YTD ACTUAL	YTD BUDGET	VARIANCE		ANNUAL BUDGET
REVENUES:					
Membership	\$ 268,000	\$268,000			\$536,000
Associate membership	28,750	28,750			28,750
Affiliate membership	56,989	43,500	13,489	31%	43,500
ISAT fee	650	6,000	(5,350)	-89%	12,000
Event registration - Meet and Greet					52,500
Event registration - Retreat					3,000
Operating revenue - Subtotal	354,389	346,250	8,139	2%	675,750
Strategic planning - Brand Development	27,500	27,500		0%	27,500
Strategic planning - PACE 2.0	17,610	17,610		0%	23,250
Strategic initiative revenue - Subtotal	45,110	45,110		0%	50,750
TOTAL OPERATING REVENUE	399,499	391,360	8,139	2%	726,500
PAYROLL & FRINGE BENEFITS					
TOTAL SALARY & FRINGE BENEFITS	164,229	167,049	(2,820)	-2%	336,965
CONTRACTUAL SERVICES:					
Accounting	4,500	4,500			9,000
Administrative services	2,550	2,550			5,100
Audit/Tax					10,000
Public relations					40,000
Lobbying	44,322	46,538	(2,216)	-5%	93,076
Website Design	1,115	6,750	(5,635)	-83%	13,500
Legal	10,000	12,000	(2,000)	-17%	24,000
Actuarial	5,419	10,000	(4,581)	-46%	20,000
I-SAT/Other		900	(900)	-100%	1,800
Consultant - other	481	12,500	(12,019)	-96%	25,000
	68,387	95,738	(27,351)	-29%	241,476
Strategic planning - Brand Development	23,500	27,500	(4,000)	-15%	27,500
Strategic planning - PACE 2.0					46,500
	23,500	27,500	(4,000)	-15%	74,000

	CURRENT YTD ACTUAL	YTD BUDGET	VARIANCE		ANNUAL BUDGET
TRAVEL & TRAINING:					
Travel and Entertainment	1,237	4,850	(3,613)	-74%	9,700
Training and Conferences	150	2,800	(2,650)	-95%	5,600
	1,387	7,650	(6,263)	-82%	15,300
TOTAL PROGRAM & TRAVEL EXPENSE	93,274	130,888	(37,614)	-29%	330,776
GENERAL OFFICE & ADM EXPENSE					
Office rent	6,540	6,690	(150)	-2%	13,380
Supplies	152	250	(98)	-39%	500
Telephone	2,792	2,370	422	18%	4,740
Insurance	1,404	1,450	(46)	-3%	2,900
Fees and licenses	852	1,300	(448)	-34%	2,600
IT services	2,493	3,360	(867)	-26%	6,720
Dues and subscriptions	13,911	14,500	(589)	-4%	14,500
Printing	250	250			500
Board retreat					50,000
Affiliate meeting expense					20,000
TOTAL GENERAL OFFICE & ADM EXPENSE	28,394	30,170	(1,776)	-6%	115,840
OTHER EXPENSES:					
Catering					5,500
Depreciation	1,271	1,500	(229)	-15%	3,000
Postage	2	50	(48)	-96%	100
Contributions and Gifts	802	1,400	(598)	-43%	2,800
Other					
TOTAL OTHER EXPENSES:	2,075	2,950	(875)	-30%	11,400
TOTAL OPERATING EXPENSES	287,972	331,057	(43,085)	-13%	794,981
NET SURPLUS (DEFICIT)	\$ 111,527	\$ 60,303	\$51,224	85%	\$(68,481)

CalPACE
STATEMENT OF FINANCIAL POSITION
December 31, 2020

	<u>12/31/2020</u>	<u>6/30/2020</u>		
	<u>UNAUDITED</u>	<u>Prior Year End</u>	<u>CHANGE</u>	<u>%</u>
ASSETS				
Cash	\$521,962	\$321,233	\$200,729	62%
TOTAL CASH & CASH EQUIVALENTS	521,962	321,233	200,729	62%
Receivable - Membership Fee	143,024		143,024	
Receivable - Other	350	7,350	(7,000)	-95%
Prepaid Expenses & Deposits	11,218	702	10,516	1498%
TOTAL CURRENT ASSETS	154,592	8,052	146,540	1820%
FIXED ASSETS				
Computers and Office Furniture	17,522	14,455	3,067	21%
Accumulated Depreciation	(7,466)	(6,195)	(1,271)	21%
TOTAL FIXED ASSETS	10,056	8,260	1,796	22%
TOTAL ASSETS	\$686,610	\$337,545	\$349,065	103%
LIABILITIES				
Accounts Payable - Trade	48,107	53,123	(5,016)	-9%
Accrued Expenses	19,130	27,876	(8,746)	-31%
Deferred Revenue	268,000	16,700	251,300	1505%
TOTAL LIABILITIES	335,237	97,699	237,538	243%
NET ASSETS				
Unrestricted	239,846	213,469	26,377	12%
Net Surplus (Deficit), Unrestricted	111,527	26,377	85,150	323%
TOTAL NET ASSETS	351,373	239,846	111,527	46%
TOTAL LIABILITIES AND NET ASSETS	\$686,610	\$337,545	\$349,065	103%



Board Member Responsibilities

1. Be informed about the organization's mission, services, policies, and programs.
2. Select the chief executive; Support and evaluate the chief executive.
3. Ensure effective planning.
4. Monitor and strengthen programs and services.
5. Ensure adequate financial resources, including non-dues revenue.
6. Protect assets and provide financial oversight.
7. Build and sustain a competent board.
8. Ensure legal and ethical integrity; Follow conflict-of-interest and confidentiality policies.
9. Enhance the organization's public standing.
10. Regularly attend board and committee meetings and as many functions, such as special events and technical webinars, as possible.
11. Prepare for board and committee meetings by reviewing the meeting agenda and supporting materials.
12. Serve on committees or workgroups and offer to take on special assignments when your capacity allows; Recommend a key member of your staff to serve on committees or workgroups as appropriate.

General Expectations

- Know the organization's mission, purpose, goals, policies, programs, services, strengths, and needs.
- Serve in leadership positions and undertake special assignments willingly and enthusiastically.
- Avoid prejudiced judgments on the basis of information received from individuals; urge staff members with grievances to follow established policies and procedures through their supervisors. All significant matters coming to you should be called to the attention of the chief executive and/or the board's elected leader as appropriate.
- Follow trends in the organization's field of interest and keep informed.
- Bring goodwill and a sense of humor to the board's deliberations.

Meetings

- Prepare for and conscientiously participate in board and committee meetings, including appropriate organizational activities when possible.
- Ask timely and substantive questions at board and committee meetings, consistent with your conscience and convictions, while supporting the majority decision on issues once decided by the board.
- Maintain confidentiality of the board's executive sessions and when confidential information is given to you. Never speak for the board or organization unless authorized to do so, but also remember that all utterances from board members carry great weight with those within and outside of the organization. Private opinion on any matter is often construed by others as the board's official posture whether it really is or isn't.
- Suggest board and committee meeting agenda items occasionally to board leaders and the chief executive to ensure that significant, policy-related, and strategic matters are discussed.

Relationship with Staff

- Counsel the chief executive as appropriate, providing support through often difficult relationships with groups or individuals.
- Avoid asking the staff for favors, including special requests for extensive information that may take extraordinary time to gather unless they are part of ongoing board or committee work. Exceptions to this rule should require consultation with the chief executive, board chair, or appropriate committee chair.
- Remember that it is most appropriately the chief executive who is responsible for assessing staff performance, not board members or the board. The chief executive welcomes comments or opinions, offered during private conversations, that are complimentary or constructively critical of a senior officer or other staff member.

Fiduciary Responsibilities

- At all times, exercise prudence with the board in the control and transfer of funds.
- Faithfully read and understand the organization's financial statements and otherwise help the board fulfill its fiduciary responsibility.
- Protect confidential/proprietary information regarding CalPACE from disclosure, without authorization.

Ambassadorial Service

- Serve your organization responsibly and diligently by telling the organization's story and presenting its accomplishments as well as its needs and current challenges. You are your organization's logo.
- Represent, as well, your community to your organization. Bring back concerns, ideas, suggestions, compliments, and the like when you believe they may have merit. Remember, as a board member, you are at the nexus of two-way communication. You can also be an effective advocate for your organization's mission and purposes. Never shy from an opportunities to leverage your organization's hopefully strong reputation to move from an ambassadorial posture to a more assertive, more focused and purposeful advocacy initiative when that can make a real difference.

DRAFT



**CalPACE BOARD CODE OF ETHICS & PROFESSIONAL CONDUCT
COMMITMENT TO SERVE**

I, _____ recognize the important responsibility of serving as a member of the Board of Director of CalPACE and agree to abide by the following:

My Role

I acknowledge that my primary role as a Board Member is (1) to help shape the mission and vision of CalPACE and to assure that the organization advances toward that vision; and (2) to carry out the functions of a Director and/or Officer as delineated in the CalPACE Bylaws.

As a Director, I will develop board policies and implement organizational plans in a fiscally responsible manner. I will value and maintain clear distinction between board and staff roles. I will encourage diversity of thought and robust discussion, but remember that the board speaks with one voice outside of the boardroom.

My Commitments to Code of Ethics

I agree to:

- Abide by the Bylaws and Policies of CalPACE
- Demonstrate loyalty to CalPACE's Mission/Vision/Values
- Exercise reasonable care, good faith, and due diligence in organizational affairs
- Conduct all business with honesty, fairness, integrity and transparency to the CalPACE family of companies and its members
- Conduct myself in such a manner as to merit the trust, confidence and respect of those with whom I interact
- Avoid any transactions that would result in a personal benefit or a conflict of interest
- Treat with discretion and respect all information obtained in confidence
- Make attendance at Board meetings, committee meetings, retreats and trainings a high priority
- Remain accountable for prudent fiscal management to CalPACE, the board, government agencies, funders, and other associated organizations
- Exercise the powers invested for the good of all members of CalPACE rather than for my personal benefit, or for that of the organization I represent
- Respect the diversity of opinions expressed or acted upon by the CalPACE board, committees and membership

CalPACE BOARD CODE OF ETHICS & PROFESSIONAL CONDUCT
COMMITMENT TO SERVE

My Commitments to Professional Conduct

I agree to:

- Attend meetings on time, turn pagers/cell phones to vibrate, avoid side-bars, actively participate
- Uphold and support the decisions of the CalPACE Board, and to formally register my dissent as appropriate
- To advise CalPACE CEO and/or CalPACE Board Chair when individual interests preclude supporting the decisions of the membership and advise what actions I intend to take
- To ensure timely corrections to regulatory and/or compliance deficits and deficiencies, including but not limited to independent financial audit, California Department of Health Services, California Department of Public Health, County, and public/private partners with respect to the ongoing business operations of the member, so as not to create a cloud of suspicion or damage the reputation of the CalPACE community and/or CalPACE and its members
- To be present and to listen carefully, open -mindedly and respectfully to colleagues
- To be attentive, to respect the opinions of my fellow board members and assure that all members have the opportunity to speak and beheard
- To speak the truth for CalPACE, for my organization and as a board member
- To raise issues through the CalPACE meeting process by requesting agenda items at least 48 hours in advance of the meeting, when possible
- To offer alternatives when opposing an issue facing the membership
- To voice opposition to an action prior to the membership vote
- To work actively to achieve consensus decision-making

By signing below, I signify that I have read this document and will perform the duties and responsibilities of this office with integrity, collegiality and due care.

Adopted by the CalPACE Board of Directors on March 10, 2021.

Board Member Signature

Date

CalPACE

CONFLICT OF INTEREST POLICY

Article I: Purpose

This conflict of interest policy is designed to foster public confidence in the integrity of **CalPACE** (the "Organization") and to protect the Organization's interest when it is contemplating entering a transaction (defined below) that might benefit the private interest of a director, a corporate officer, the top management or top financial official, a key employee (defined below)

Article II: Definitions

The following are considered *insiders* for the purposes of this policy:

1. Each member of the Board of Directors or other governing body.
2. The president, chief executive officer, chief operating officer, treasurer and chief financial officer, executive director, or any person with the responsibilities of any of these positions (whether or not the person is an officer of the Organization under the Organization's Bylaws and the California Corporations Code).
3. Any *key employee*, meaning an employee whose total annual compensation (including benefits) from the organization and its affiliates is more than \$150,000 **and** who (a) has responsibilities or influence over the organization similar to that of officers, directors, or trustees; **or** (b) manages a program that represents 10% or more of the activities, assets, income, or expenses of the organization; **or** (c) has or shares authority to control 10% or more of the organization's capital expenditures, operating budget, or compensation for employees.

Interest means any material financial interest, whether through commitment, investment, relationship, obligation, involvement or otherwise, direct or indirect, that may influence a person's judgment, including receipt of compensation from the Organization, a sale, loan, or exchange transaction with the Organization.

A *conflict of interest* is present when, in the judgment of the body or individual determining whether a conflict exists, an insider or person related to the insider by family or business relationship ("interested person") has a material financial interest in the transaction such that it reduces the likelihood that an insider's influence can be exercised impartially in the best interests of the Organization.

Transaction means any transaction, agreement, or arrangement between an interested person and the Organization, or between the Organization and any third party where an interested person has a material financial interest in the transaction or any party to it. "*Transaction* does not include compensation arrangements between the Organization and a director, officer, or other insider that are wholly addressed under the Organization's Compensation Policy."

Article III: Procedures

1. *Duty to Disclose*

Each interested person shall disclose to the Board all material facts regarding his, her, or its interest (including relevant affiliations) in the transaction. The interested person shall make that disclosure promptly upon learning of the proposed transaction.

2. *Determining Whether a Conflict of Interest Exists*

With regard to an interested person, the Board shall determine if a conflict of interest exists.

3. *Procedures for Addressing a Conflict of Interest*

The Board shall follow the procedures set forth in Article IV in order to decide what measures are needed to protect the Organization's interests in light of the nature and seriousness of the conflict, to decide whether to enter into the transaction and, if so, to ensure that the terms of the transaction are appropriate. In the case of an insider who is a director, the director shall not vote on any transaction in which the director has an interest, and the remaining Board members shall decide the matter.

Article IV: Review by the Board

The Board may ask questions of and receive presentation(s) from the insider(s) and any other interested person(s), and may deliberate and vote on the transaction in their presence. The Board shall ascertain that all material facts regarding the transaction and the interested person's conflict of interest have been disclosed to the Board and shall compile appropriate data to ascertain whether the proposed transaction is just and reasonable to the Organization.

After exercising due diligence, which may include investigating alternatives that present no conflict, the Board shall determine whether the transaction is in the Organization's best interest, for its own benefit, and whether it is just and reasonable to the Organization; the transaction can be approved by the Board by majority vote of those present at a meeting for which quorum requirements have been met, without counting the vote of any interested directors. Interested or common directors may be counted in determining the presence of a quorum at such meeting.

Article V: Records of Proceedings

The minutes of any meeting of the Board pursuant to this policy shall contain the name of each interested person who disclosed or was otherwise determined to have an interest in a transaction; the nature of the interest and whether it was determined to constitute a conflict of interest; any alternative transactions considered; the members of the Board who were present during the debate on the transaction, those who voted on it, and to what extent interested persons were excluded from the deliberations; any comparability data or other information obtained and relied upon by the Board and how the information was obtained; and the result of the vote, including, if applicable, the terms of the transaction that was approved and the date it was approved.

Article VI: Annual Disclosure and Compliance Statements

Each director, each corporate officer, the top management official, the top financial official, and each key employee of the Organization, shall annually sign a statement on the form attached, that:

- affirms that the person has received a copy of this conflict of interest policy, has read and understood the policy, and has agreed to comply with the policy; and
- discloses the person's financial interests and family relationships that could give rise to conflicts of interest.

Article VII: Violations

If the Board has reasonable cause to believe that an insider of the Organization has failed to disclose actual or possible conflicts of interest, including those arising from a transaction with a related interested

person, it shall inform such insider of the basis for this belief and afford the insider an opportunity to explain the alleged failure to disclose. If, after hearing the insider's response and making further investigation as warranted by the circumstances, the Board determines that the insider has failed to disclose an actual or possible conflict of interest, the Board shall take appropriate disciplinary and corrective action.

Article VIII: Annual Reviews

To ensure that the Organization operates in a manner consistent with its status as an organization exempt from federal income tax, the Board shall authorize and oversee an annual review of the administration of this conflict of interest policy. The review may be written or oral. The review shall consider the level of compliance with the policy, the continuing suitability of the policy, and whether the policy should be modified and improved.

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CalPACE

**CONFLICT OF INTEREST POLICY:
ACKNOWLEDGMENT AND FINANCIAL INTEREST DISCLOSURE STATEMENT – Part 1 of 2**

Our organization follows a conflict of interest policy designed to foster public confidence in our integrity and to protect our interest when we are contemplating entering a transaction or arrangement that might benefit the private interest of a director, a corporate officer, our top management official and top financial official, any of our key employees, or other interested persons.

Part I. Acknowledgment of Receipt

I hereby acknowledge that I have received a copy of the conflict of interest policy of **CalPACE**, have read and understood it, and agree to comply with its terms.

Signature

Printed Name

PACE Organization

Date

CalPACE

**CONFLICT OF INTEREST POLICY:
ACKNOWLEDGMENT AND FINANCIAL INTEREST DISCLOSURE STATEMENT – Part 2 of 2**

Part II. Disclosure of Financial Interests

We are required annually to file Form 990 with the Internal Revenue Service, and the form we file is available to the public. To complete Form 990 fully and accurately, we need each officer, director and key employee to disclose the information requested in this Part II.

A “conflict of interest,” for purposes of Form 990, arises when a person in a position of authority over an organization, such as an officer, director, or key employee, may benefit financially from a decision he or she could make in such capacity, including indirect benefits such as to family members or businesses with which the person is closely associated.

Part II Please check ONE of the following boxes:

My interests and relationships have not changed since my last disclosure of interests. [Proceed to signature block below. Do not complete the tables.]

OR

I hereby disclose or update my interests and relationships that could give rise to a conflict of interest: [Complete the table below. Use additional pages as needed.]

Family Relationships	Names of those presenting a potential conflict of interest
Include spouse/domestic partner, living ancestors, brothers and sisters (whether whole or half blood), children (whether natural or adopted), grandchildren, great grandchildren, and spouses/ domestic partners of brothers, sisters, children, grandchildren, and great grandchildren	

Type of interest	Description of interest that could lead to a conflict of interest
Transactions or arrangements with the Organization	
Transactions or affiliations with other nonprofit organizations	
Substantial business or investment holdings	
Transactions or affiliations with businesses not listed above	

I am not aware of any financial interest involving me or a family member that could present a conflict of interest that I have not disclosed either above or in a previous disclosure statement.

Signature

Printed Name

PACE Organization

Date



CalPACE Antitrust Policy and Guidelines

Introduction

CalPACE is a California based trade association devoted to Programs of All-inclusive Care for the Elderly. CalPACE members include PACE providers, organizations developing PACE programs, and vendors. While CalPACE members may not compete directly for patients or employees, CalPACE activities may reflect collective action subject to antitrust scrutiny. It is therefore, CalPACE policy that all of its activities are to be carried out in strict compliance with the letter and spirit of federal and state antitrust and competition laws.

A. Background and overview of the antitrust laws

As a general rule, membership in a trade or professional association like CalPACE does not, by itself, create antitrust liability. Antitrust issues may arise, however, when association members seek to use association meetings as a forum for obtaining individual competitive advantages or achieving other anticompetitive objectives.

The antitrust laws prohibit, among other things, agreements among competitors that unreasonably restrain competition. Examples of such restraints include price fixing, bid rigging, allocation of markets or territories, group boycotts or certain refusals to deal. An antitrust “agreement” does not need to be a formal, written contract. It may be inferred from any written or oral communication or conduct (e.g., a “knowing wink or nod,” a casual remark, a quick e-mail note, or an “off-the-record” discussion) that leads to or reflects a mutual understanding. As a result, antitrust lawyers generally counsel organizations that may include competitors not to discuss the prices they charge (or pay) for products or services or the salaries, wages and benefits they provide to employees. Similarly, when competitors have discussions concerning vendors for example, it may result in the appearance of an agreement to “refuse to deal” or to “boycott” that vendor.

B. Consequences of an antitrust violation

The consequences of an antitrust violation can be onerous – serious criminal sanctions, including fines and imprisonment, private treble damages (a prevailing plaintiff also is entitled to payment of its reasonable attorneys’ fees and costs) and injunctions that may impede the ability to engage in any joint activities, including dissolution of an association altogether.

C. Antitrust compliance policy and guidelines

The consequences of an antitrust violation are so severe that it is important to avoid even the appearance of impropriety. Accordingly, this policy and the accompanying guidelines are intended to make members aware of the areas that may carry antitrust risk.

It is very important to ensure that many meetings, conference calls, and other activities that bring members together proceed under the guidelines established here. Although such meetings are generally lawful and procompetitive, they can provide opportunities to reach unlawful agreements.

CalPACE shall make a copy of this Antitrust Compliance Policy and Guidelines available to each member. It is the obligation of each member and employee/director of CalPACE to comply with this Policy and Guidelines. Members with questions about the application of the antitrust laws to any CalPACE activity should seek the advice of legal counsel.

1. **Discussion Guidelines** – CalPACE members and representatives should refrain from discussing or sharing commercially sensitive information such as the following:
 - a. Prices, discounts or other terms or conditions of sale or products or services that would not otherwise be publicly available, such as financial terms to particular third-party payors (including fee schedules, discount arrangements, per diem rates, or other risk sharing arrangements):
 - b. Individual negotiation strategies with specific third-party payors.
 - c. Future plans or strategies for pricing, including methods, timing, or amount of price changes.
 - d. Cost structures, profit margins, or strategic financial plans.
 - e. Current or future employees' salaries, wages, or benefits.
 - f. Whether boycott, discourage, withhold or not to do business with or provide services to certain third-party payors, consumers, members, or competitors.
 - g. Allocation of territories, consumers, members, or services.
 - h. Bids or intentions to bid
 - i. Confidential plans regarding a mentor's future product or service offerings; and
 - j. Any information a member is contractually or legally precluded from disclosing
2. **Conduct of Meetings** – CalPACE's annual membership meetings and all board meetings will follow a prepared agenda. The agenda should not include any subjects that are identified in these Guidelines as improper (see paragraph 1, above) Meetings should follow the written agenda and not depart from the agenda except for legitimate reasons, which should be recorded in the minutes. Minutes will be prepared after each annual meeting and all board meetings and will include the time and place of the meeting, a list of all individuals present and their affiliation, a statement of all matters discussed and actions taken with a summary of the reasons therefore, and a record of any votes taken. If sensitive issues appear on any meeting agenda, it is advisable to consult with legal counsel in advance of that meeting, This Antitrust Compliance Policy and Guidelines also

applies during any social occasion in connection with a meeting. Informal or “off the record” discussions of business topics are not permitted at meetings or other NPA activities.

3. **Membership** – CalPACE membership will be based upon objective criteria reasonably related to CalPACE’s lawful purposes, and membership will not be denied or terminated with the effect of unreasonably restraining competition.
4. **Information sharing** – CalPACE members should not share with each other directly, or through CalPACE, commercially sensitive information as defined in paragraph 1, above. These topics are off-limits for any discussion, whether in-person, over the phone, via email or other electronic forms. Members
5. **Benchmarking** – If CalPACE (or any third-party retained by CalPACE) organizes or participates in a survey of members’ salaries, wages, employee benefits, costs or other price related information, it shall comply fully with Statement 6 of the United States Department of Justice and Federal Trade Commission Statements of Antitrust Enforcement Policy in Health Care. Specifically, CalPACE will only participate in surveys if: (a) the survey is managed by a third party that does not contribute data to the survey (such as CalPACE or a third party retained by CalPACE), (b) the information in the survey is based on data more than three months old, and (c) there are at least five providers reporting data in the survey, no single providers’ data represents more than 25% of the survey, and the information disseminated to survey participants is sufficiently aggregated such that no particular provider’s data can be identified.
6. **Research and “Best Practices” Recommendations** – All CalPACE activities to study and/or recommend best practices or standards will be undertaken objectively and without bias toward or against any particular member or non-member
7. **Independent Decision-Making by Members** – CalPACE members’ decisions concerning competitive practices must be independent. CalPACE will not sponsor, approve, facilitate, or knowingly be part of any agreements, whether express or implied, that inhibit any member’s ability to make independent competitive decisions.
8. **Lobbying and Government Petitioning** – Lobbying and advocacy activities to obtain governmental action (even governmental action that would restrain competition), such as testimony before governmental bodies or court appearances as amicus curiae, generally are protected from antitrust liability so long as the restraint on competition flows from the requested governmental action and not private conduct. That protection is limited, however, and will not apply when the activity is a ‘sham’ that abuses the legislative, regulatory or judicial process for anticompetitive gain. “Sham” activities

include, for example, filing an objectively baseless lawsuit against a competitor, providing false or misleading information to administrative or judicial bodies, or disguising an attempt to interfere with a competitor's business relationships as a valid petitioning effort. CalPACE lobbying and advocacy activities should always be legitimate attempts to procure favorable governmental action, and not a sham to obtain a competitive advantage. Should any question arise regarding the legitimacy of CalPACE lobbying and advocacy activities (or that of CalPACE members), legal counsel should be consulted.

Adopted by the CalPACE Board of Directors on March 10, 2021

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ASSEMBLY BILL

No. 523

Introduced by Assembly Member Nazarian

February 10, 2021

An act to add Section 14593.3 to the Welfare and Institutions Code, relating to the elderly.

LEGISLATIVE COUNSEL'S DIGEST

AB 523, as introduced, Nazarian. Program of All-Inclusive Care for the Elderly.

Existing federal law establishes the Program of All-Inclusive Care for the Elderly (PACE), which provides specified services for older individuals at a PACE center, as defined, in part, as a facility that includes a primary care clinic, so that they may continue living in the community. Federal law authorizes states to implement the PACE program as a Medicaid state option.

Existing state law establishes the California Program of All-Inclusive Care for the Elderly (PACE program), to provide community-based, risk-based, and capitated long-term care services as optional services under the state's Medi-Cal State Plan, as specified. Existing law authorizes the State Department of Health Care Services to enter into contracts with various entities for the purpose of implementing the PACE program and fully implementing the single state agency responsibilities assumed by the department pursuant to those contracts, as specified.

This bill would require the department to make permanent the specified PACE program flexibilities instituted, on or before January 1, 2021, in response to the state of emergency caused by the 2019 novel coronavirus (COVID-19) through all-facility letters, or other similar

instructions taken without regulatory action. The bill would require the department to work with the federal Centers for Medicare and Medicaid Services to determine how to extend PACE flexibilities approved during the COVID-19 emergency.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 14593.3 is added to the Welfare and
2 Institutions Code, to read:

3 14593.3. (a) The department shall make permanent the changes
4 in the California Program of All-Inclusive Care for the Elderly
5 (PACE) program the department instituted, on or before January
6 1, 2021, in response to the state of emergency caused by the 2019
7 novel coronavirus (COVID-19) through all-facility letters, or other
8 similar instructions taken without regulatory action, in the
9 following areas:

- 10 (1) Telehealth.
- 11 (2) PACE enrollment agreements.
- 12 (3) Adult Day Health Care (ADHC) services provided in the
13 home.
- 14 (4) Involuntary disenrollments – Out of Service Area.
- 15 (5) Facility beds.
- 16 (6) Marketing.
- 17 (7) Marketing exams.

18 (b) The department shall work with the federal Centers for
19 Medicare and Medicaid Services to determine how to extend PACE
20 flexibilities approved during the COVID-19 emergency.

ASSEMBLY BILL

No. 540

Introduced by Assembly Member Petrie-Norris

February 10, 2021

An act to add Section 14595 to the Welfare and Institutions Code, relating to the elderly.

LEGISLATIVE COUNSEL'S DIGEST

AB 540, as introduced, Petrie-Norris. Program of All-Inclusive Care for the Elderly.

Existing federal law establishes the Program of All-Inclusive Care for the Elderly (PACE), which provides specified services for older individuals at a PACE center, defined, in part, as a facility that includes a primary care clinic, so that they may continue living in the community. Federal law authorizes states to implement the PACE program as a Medicaid state option.

Existing state law establishes the California Program of All-Inclusive Care for the Elderly (PACE program) to provide community-based, risk-based, and capitated long-term care services as optional services under the state's Medi-Cal State Plan, as specified. Existing law authorizes the State Department of Health Care Services to enter into contracts with various entities for the purpose of implementing the PACE program and fully implementing the single-state agency responsibilities assumed by the department in those contracts, as specified.

This bill would exempt a beneficiary who is enrolled in a PACE organization with a contract with the department from mandatory or passive enrollment in a Medi-Cal managed care plan. The bill would require, in areas where a PACE plan is available, that the PACE plan

be presented as an enrollment option in the same manner as other managed care plan options, as specified. The bill would require the department to establish an auto-referral system to refer to PACE organizations beneficiaries who appear to be eligible for PACE, based on various criteria, including residence, as specified. The bill would also require, among other things, in areas where a PACE plan is available, that PACE be identified and presented as a Medicare plan option, as specified.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 14595 is added to the Welfare and
2 Institutions Code, to read:
3 14595. (a) A beneficiary who is enrolled in a PACE
4 organization with a contract with the department pursuant to this
5 chapter is exempt from mandatory or passive enrollment in a
6 Medi-Cal managed care plan.
7 (b) In areas where a PACE plan is available, the PACE plan
8 shall be presented as an enrollment option in the same manner as
9 other managed care plan options, included in all enrollment
10 materials, enrollment assistance programs, and outreach programs,
11 and made available to beneficiaries whenever enrollment choices
12 and options are presented. Outreach and enrollment materials shall
13 enable beneficiaries to understand what PACE provides, that, if
14 eligible, they may be assessed for PACE eligibility and enroll in
15 PACE, and how they can receive additional information and request
16 to be assessed for PACE eligibility. Persons meeting the age
17 qualifications for PACE and who choose PACE shall not be
18 assigned to a managed care health plan for the lesser of 60 days
19 or until they are assessed for eligibility for PACE and determined
20 not to be eligible for a PACE plan. Persons enrolled in a PACE
21 plan shall receive all Medicare and Medi-Cal services from the
22 PACE program pursuant to the three-way agreement between the
23 PACE program, the department, and the federal Centers for
24 Medicare and Medicaid Services.
25 (c) As part of the managed care enrollment process for dual
26 eligible beneficiaries, seniors, and persons with disabilities, the
27 department shall establish an autoreferral system to refer to PACE

1 organizations beneficiaries who appear to be eligible for PACE
2 based on age, residence, and prior use of services. Persons meeting
3 the age qualifications for PACE who are auto referred to PACE
4 shall not be assigned to a managed care plan for the lesser of 60
5 days or until they are assessed for eligibility for PACE and
6 determined not be eligible for a PACE plan.

7 (d) Health plan risk stratification and health risk assessment
8 processes completed by Medi-Cal managed care plans shall include
9 criteria to identify and refer to PACE beneficiaries who appear to
10 be eligible for PACE based on age, condition, functional
11 impairment, and use of services.

12 (e) In areas where a PACE plan is available, PACE shall be
13 identified and presented as a Medicare plan option in any mailings
14 or notices to dual eligible beneficiaries regarding their options to
15 enroll in a Medicare plan and shall provide information about how
16 they can receive additional information and be assessed for PACE
17 eligibility.



Legislative Tracking Grid – California

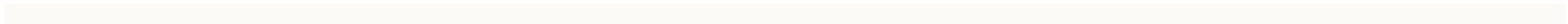
No./Author	Description	Company Position	Organizations in Support/Oppose	Status	Similar Legislation
AB 470 Carrillo D	<p>Medi-Cal: eligibility. Would declare the intent of the Legislature to enact legislation to eliminate the consideration of assets for the purpose of determining Medi-Cal eligibility.</p>	<p>Recommend Support</p>		<p>2/9/2021 - From printer. May be heard in committee March 11.</p>	
AB 523 Nazarian D	<p>Program of All-Inclusive Care for the Elderly. Current state law establishes the California Program of All-Inclusive Care for the Elderly (PACE program), to provide community-based, risk-based, and capitated long-term care services as optional services under the state’s Medi-Cal State Plan, as specified. Current law authorizes the State Department of Health Care Services to enter into contracts with various entities for the purpose of implementing the PACE program and fully implementing the single state agency responsibilities assumed by the department pursuant to those contracts, as specified. This bill would require the department to make permanent the specified PACE program flexibilities instituted, on or before January 1, 2021, in response to the state of emergency caused by the 2019 novel coronavirus (COVID-19) through all-facility letters, or other similar instructions taken without regulatory action. The bill</p>	<p>Support</p>		<p>2/18/2021 - Referred to Coms. on AGING & L.T.C. and HEALTH. 4/6/2021 9 a.m. - State Capitol, Room 437 ASSEMBLY AGING AND LONG TERM CARE, NAZARIAN, Chair</p>	

	would require the department to work with the federal Centers for Medicare and Medicaid Services to determine how to extend PACE flexibilities approved during the COVID-19 emergency.				
AB 540 Petrie-Norris D	Program of All-Inclusive Care for the Elderly. Current state law establishes the California Program of All-Inclusive Care for the Elderly (PACE program) to provide community-based, risk-based, and capitated long-term care services as optional services under the state’s Medi-Cal State Plan, as specified. Current law authorizes the State Department of Health Care Services to enter into contracts with various entities for the purpose of implementing the PACE program and fully implementing the single-state agency responsibilities assumed by the department in those contracts, as specified. This bill would exempt a beneficiary who is enrolled in a PACE organization with a contract with the department from mandatory or passive enrollment in a Medi-Cal managed care plan.	Support		2/18/2021 - Referred to Coms. on AGING & L.T.C. and HEALTH. 4/6/2021 9 a.m. - State Capitol, Room 437 ASSEMBLY AGING AND LONG TERM CARE, NAZARIAN, Chair	
AB 848 Calderon D	Medi-Cal: monthly maintenance amount: personal and incidental needs. Current law requires the State Department of Health Care Services to establish income levels for maintenance need at the lowest levels that reasonably permit a medically needy person to meet their basic needs for food, clothing, and shelter, and for which federal financial participation will still be provided under applicable federal law. In calculating the income of a medically needy person in a medical institution or nursing facility, or a person receiving institutional or noninstitutional services from a Program of All-Inclusive Care for the Elderly organization, the required monthly maintenance amount includes an amount providing for personal and incidental needs in the amount of not less than \$35 per month while a patient. Current law authorizes the	Recommend Support		2/25/2021 - Referred to Com. on HEALTH.	

	<p>department to increase, by regulation, this amount as necessitated by increasing costs of personal and incidental needs. This bill would increase the monthly maintenance amount for personal and incidental needs from \$35 to \$80, and would require the department to annually adjust that amount by the same percentage as the Consumer Price Index.</p>				
<p>AB 911 Nazarian D</p>	<p>Long-term services and supports. Would establish the California Long-Term Services and Supports Benefits Board (LTSS Board), to be composed of 10 specified members, including, among others, the Treasurer as chair, the Secretary of California Health and Human Services as vice chair, and 3 members to be appointed by the Governor. The bill would require the LTSS Board to manage and invest revenue deposited in the California Long-Term Services and Supports Benefits Trust Fund (LTSS Trust), which the bill would create in the State Treasury, to, upon appropriation, finance long-term services and supports for eligible individuals. The bill would require the Long Term Supports and Services Subcommittee of the Master Plan on Aging to provide ongoing advice and recommendations to the board.</p>	<p>Recommend Support</p>		<p>2/25/2021 - Referred to Coms. on AGING & L.T.C. and HUM. S. 4/6/2021 9 a.m. - State Capitol, Room 437 ASSEMBLY AGING AND LONG TERM CARE, NAZARIAN, Chair</p>	
<p>AB 1217 Rodriguez D</p>	<p>Personal protective equipment: stockpile. Would require the state to establish a statewide stockpile of personal protective equipment (PPE) for distribution in case of disease or manmade or natural disasters. The bill would require the office to administer the stockpile and coordinate with the State Department of Public Health to establish the kinds of PPE to be stockpiled and the amount of each item to be stocked. The bill would require the office to rotate items in the stockpile by contracting or agreeing with nonprofit agencies, local governments, or other health care providers to provide them with PPE, and authorize the office to contract</p>	<p>Watch</p>		<p>2/22/2021 - Read first time.</p>	

	with general acute care hospitals, health facilities, or local governments to purchase PPE on their behalf. The bill would also require the contracting entity to reimburse the state for the PPE.				
SB 48 Limón D	Dementia and Alzheimer’s disease. Would require all general internists and family physicians to complete at least 4 hours of mandatory continuing education on the special care needs of patients with dementia.	Support		3/2/2021 - Set for hearing March 8. 3/8/2021 9 a.m. - Senate Chamber SENATE BUSINESS, PROFESSIONS AND ECONOMIC DEVELOPMENT, ROTH, Chair	
SB 515 Pan D	Long-term services and supports. Would establish the California Long-Term Services and Supports Benefits Board (LTSS Board), to be composed of 10 specified members, including, among others, the Treasurer as chair, the Secretary of California Health and Human Services as vice chair, and 3 members to be appointed by the Governor. The bill would require the LTSS Board to manage and invest revenue deposited in the California Long-Term Services and Supports Benefits Trust Fund (LTSS Trust), which the bill would create in the State Treasury, to, upon appropriation, finance long-term services and supports for eligible individuals. The bill would require the Long Term Supports and Services Subcommittee of the Master Plan on Aging to provide ongoing advice and recommendations to the board.	Recommend Support		3/1/2021 - Set for hearing March 9.	

Total Measures: 8



CalPACE

STRATEGIC PLANNING AGENDA

March 10, 2021 - Zoom

- 2:00 – 2:05 Highlights of current strategic plan
- 2:05 – 2:10 Ideas/Implications from retreat on February 17-18, 2021
- 2:10 – 2:25 Breakout Groups
- What are the top 3 challenges facing PACE over the next two years?
 - What should CalPACE’s top 3 priorities be over the next two years?
- 2:25 – 2:40 Report from Breakout Groups
- 2:40 - 2:50 Discuss Key Strategies

Key Strategies for Consideration
A. Developing best practices in the area of developing contracts with Medi-Cal managed care plans and/or Medicare Advantage plans for things like enhanced care management
B. Tracking more closely PACE market penetration rates statewide and regionally as a benchmark of PACE success
C. Facilitating development of best practices in guide POs in expanding the PACE home care workforce, including use of former IHSS providers?
D. Advancing policies to enable PACE to better serve Medicare-only beneficiaries, which number 4 times the number of dual eligible
E. Establishing a work group to research and propose modifications to or supplements to the PACE rate methodology at the state level
F. Developing new tools and methods to improve CalPACE governance and transparency
G. Painting a picture for policymakers of what PACE will look like moving forward beyond the end of the pandemic if it is allowed to continue the use of regulatory flexibilities
H. Others

- 2:50 – 3:00 Open Discussion
- 3:00 Adjourn